



Priority Issue 9
to be Tackled by Using Post K Computer
“Elucidation of the Fundamental Laws
and Evolution of the Universe”
KAKENHI grant 17K05433, 25870168

CNS Summer school 2019
2019/08/21-27, Hongo, The University of Tokyo

Nuclear shell model calculations

– basics and practices –

3. Inside “KSHELL”



CENTER for
NUCLEAR STUDY

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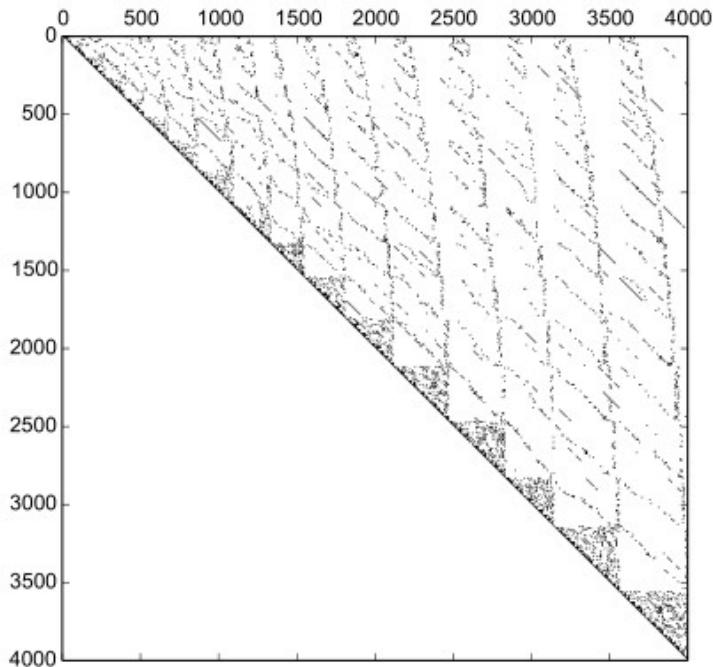
Hamiltonian matrix in the LSSM

⇒ Real symmetric sparse matrix

Nuclide	Space	Basis dim.	Sparsity	Storage (GB)
^{28}Si	sd	9.4×10^4	6×10^{-3}	0.2
^{52}Fe	pf	1.1×10^8	1×10^{-5}	720
^{56}Ni	pf	1.1×10^9	2×10^{-6}	9600

^{44}Ti M=0 space in pf-shell (4,000 dim.)

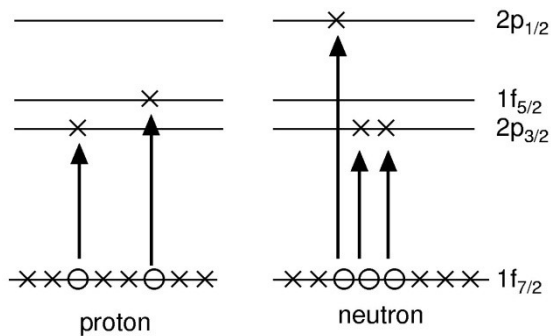
C.W. Johnson *et al.*, CPC 2013



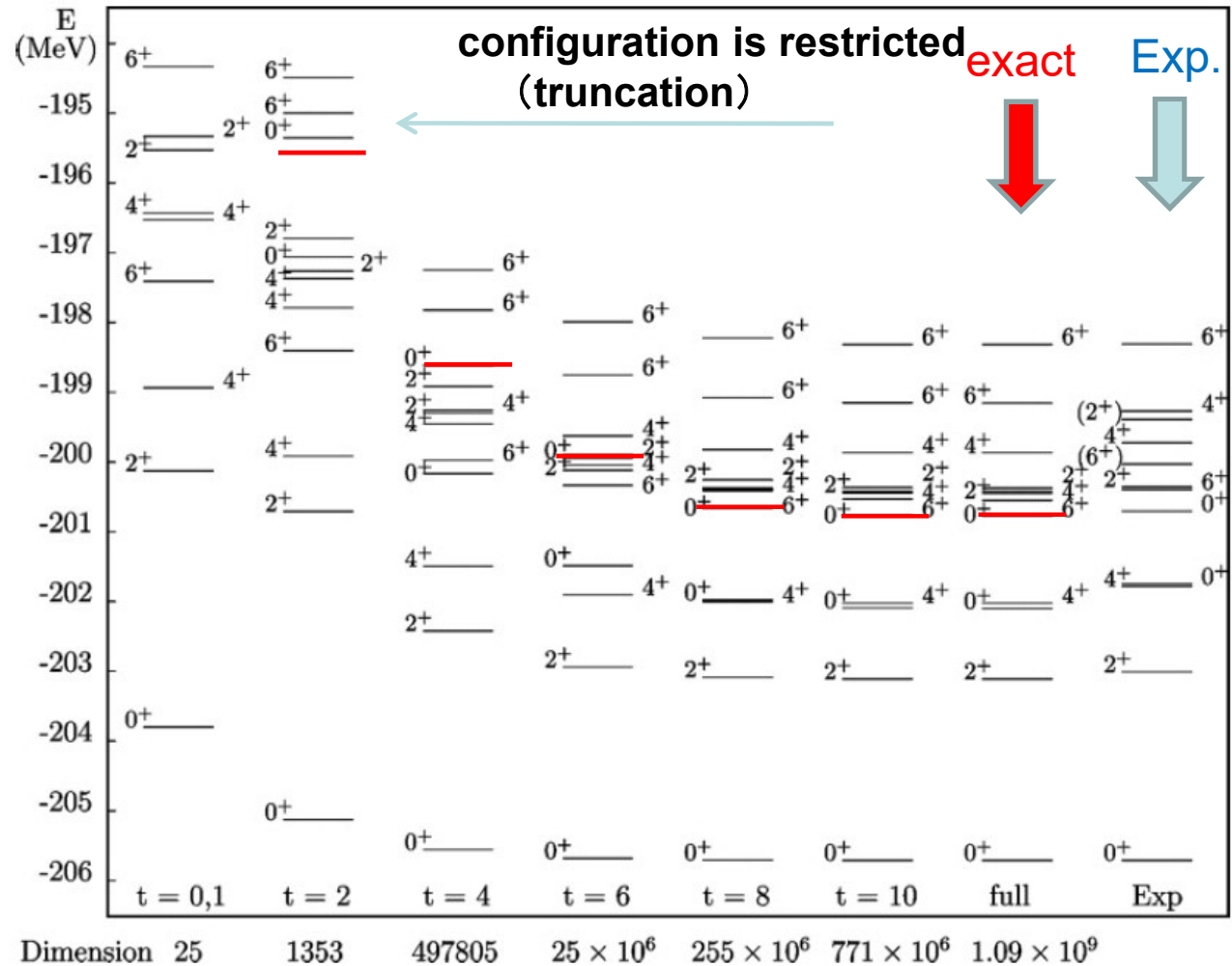
- The Lanczos algorithm is quite efficient to obtain low-lying states.
- In the KSHELL code, the matrix elements of the many-body Hamiltonian is generated on-the-fly every matrix-vector product to suppress the memory usage.

Truncation of the model space

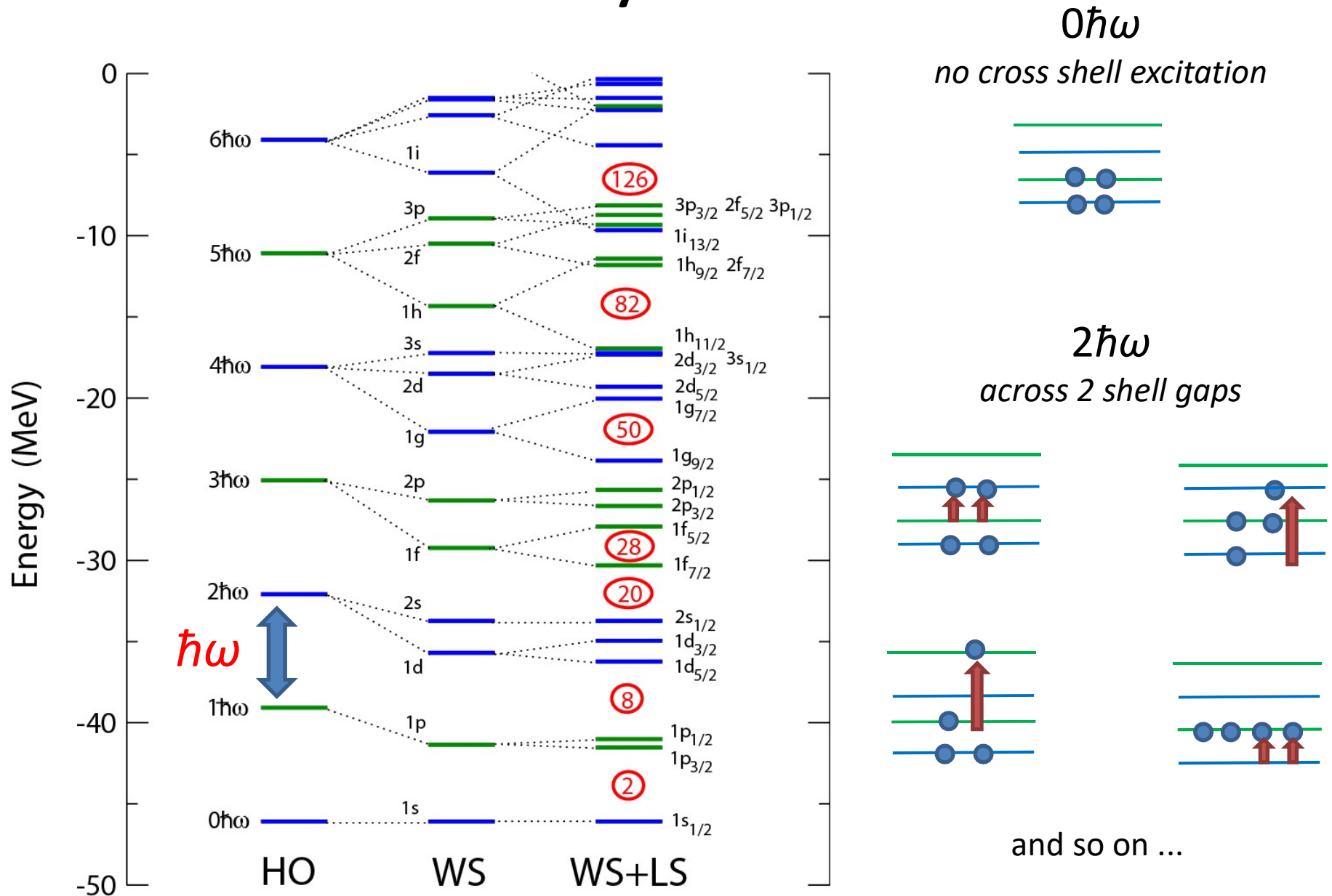
^{56}Ni ... $Z=N=28$
 doubly closed with
 $N=Z=28$ magic,
 truncation of particle-
 hole excitation is
 expected to work well



Number of p-h excitation
 from $f_{7/2}$ is restricted (t)



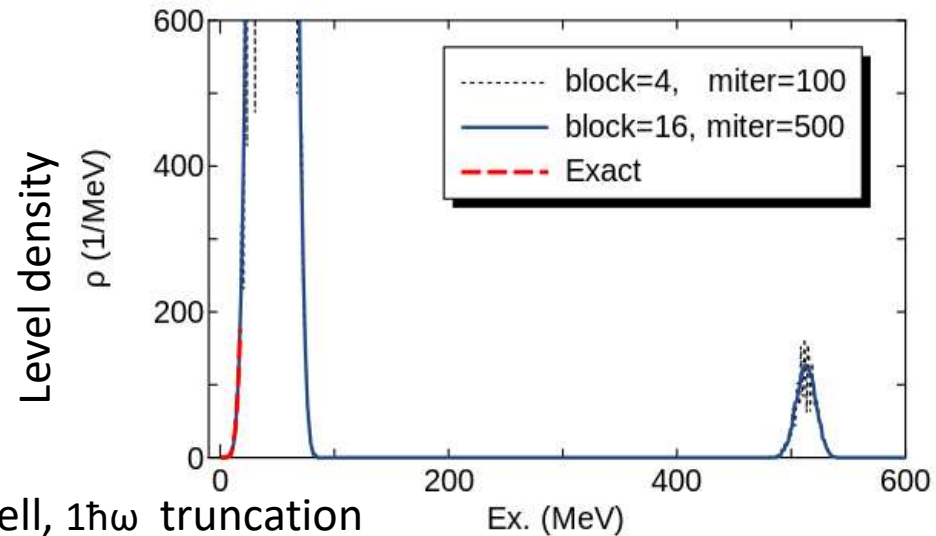
Truncation by $N\hbar\omega$ excitation



Contamination of center-of-mass motion

- In the model space beyond $0\hbar\omega$, center-of-mass motion is contaminated.
- Lawson method
 - Lift up spurious center-of-mass excited states by adding CoM Hamiltonian

$$H' = H + \beta_{CM} H_{CM}$$



- Center-of-mass motion can be clearly removed in full $N\hbar\omega$ model space
- If not full space, separation is approximately achieved by large β_{CM} ... controversial

Truncation in KSHLL code

truncation scheme ?

- 0 : No truncation (default)
- 1 : particle-hole truncation for orbit(s)
- 2 : hw truncation
- 3 : Both (1) and (2)

1

#	n,	l,	j,	tz,	spe	
1	0	3	7	-1	-8.624	p_0f7/2
2	1	1	3	-1	-5.679	p_1p3/2
3	0	3	5	-1	-1.383	p_0f5/2
4	1	1	1	-1	-4.137	p_1p1/2
5	0	3	7	1	-8.624	n_0f7/2
6	1	1	3	1	-5.679	n_1p3/2
7	0	3	5	1	-1.383	n_0f5/2
8	1	1	1	1	-4.137	n_1p1/2

specify # of orbit(s) and min., max. occupation numbers for restriction

of orbit(s) for restriction? (<CR> to quit): 2,3,4,6,7,8

min., max. restricted occupation numbers for the orbit(s) (or max only) : 0,2

of orbit(s) for restriction? (<CR> to quit):

Advanced option: one-body transition density

- Concerning the transition and moments, it would be better to replace the H.O. wave function by e.g. Woods-Saxon wave function.
- In this case, the KSHELL code provides one-body transition density (OBTD) by “is_obtd=true.” option.

$$\langle f || \hat{O}^\lambda || i \rangle = \langle n\omega J || \hat{O}^\lambda || n\omega' J' \rangle = \sum_{k_\alpha k_\beta} \text{OBTD}(f i k_\alpha k_\beta \lambda) \langle k_\alpha || O^\lambda || k_\beta \rangle$$

$$\text{OBTD}(f i k_\alpha k_\beta \lambda) = \frac{\langle n\omega J || [a_{k_\alpha}^+ \otimes \tilde{a}_{k_\beta}]^\lambda || n\omega' J' \rangle}{\sqrt{(2\lambda + 1)}}$$

Pitfall : Phase convention



For further study, you may want to construct your own operator. Pay attention to phase conventions.

- Condon-Shortley vs. Biedenharn-Rose convention

$$- Y_m^{(l)}(\theta, \phi) \quad \Leftrightarrow \quad i^l Y_m^{(l)}(\theta, \phi)$$

- spin-orbit coupling

$$- j = l + s \quad \Leftrightarrow \quad j = s + l$$

- Radial wave function

$$- R_{nl}(+\varepsilon) > 0 \Leftrightarrow R_{nl}(+\infty) > 0$$

$$- n = 0, 1, 2, \dots \Leftrightarrow n = 1, 2, 3, \dots$$

Lanczos method

- Suppose large real symmetric sparse matrix and obtain some lowest eigenvalues...
- One of the Krylov-subspace methods

$$\mathcal{K}_{l_m}(H, \mathbf{v}_1) = \{\mathbf{v}_1, H\mathbf{v}_1, H^2\mathbf{v}_1, H^3\mathbf{v}_1, \dots, H^{l_m-1}\mathbf{v}_1\}$$

- Ritz value ... eigenvalues of submatrix in Krylov subspace

Power method

- Suppose large real symmetric sparse matrix and obtain the lowest (largest) eigenvalues...

$$x_0 = e_n v_n + e_{n-1} v_{n-1} \dots + e_1 v_1$$

$$x_k = H^k x_0$$

$$= (e_n)^k v_n + (e_{n-1})^k v_{n-1} \dots + (e_1)^k v_1$$

$\rightarrow v_1$ Converges to largest absolute eigenvalue

$$\frac{x_k^T H x_{k-1}}{x_{k-1}^T x_{k-1}} \rightarrow e_1$$

Lanczos method : algorithm

- Prepare initial state (e.g. random) u_0

- Iterate

Matrix-vector product, bottle neck!

$$a_k = u_k^T A u_k \quad b_k = u_k^T A u_{k-1}$$

$$u'_{k+1} = A u_k - a_k u_k - b_k u_{k-1}$$

Gram-Schmidt orthogonalization

Normalize

$$u_{k+1} = u'_{k+1} / \sqrt{u'^T_{k+1} u_{k+1}}$$

$$T = u_i^T A u_j = \begin{bmatrix} a_0 & b_0 & & & \\ b_0 & a_1 & b_1 & & \\ & b_1 & a_2 & b_2 & \\ & & b_2 & a_3 & b_3 \\ & & & b_3 & \dots & \dots \\ & & & & \dots & \dots \end{bmatrix}$$

- Solve eigenvalue problem of tri-diagonal matrix by bisection method

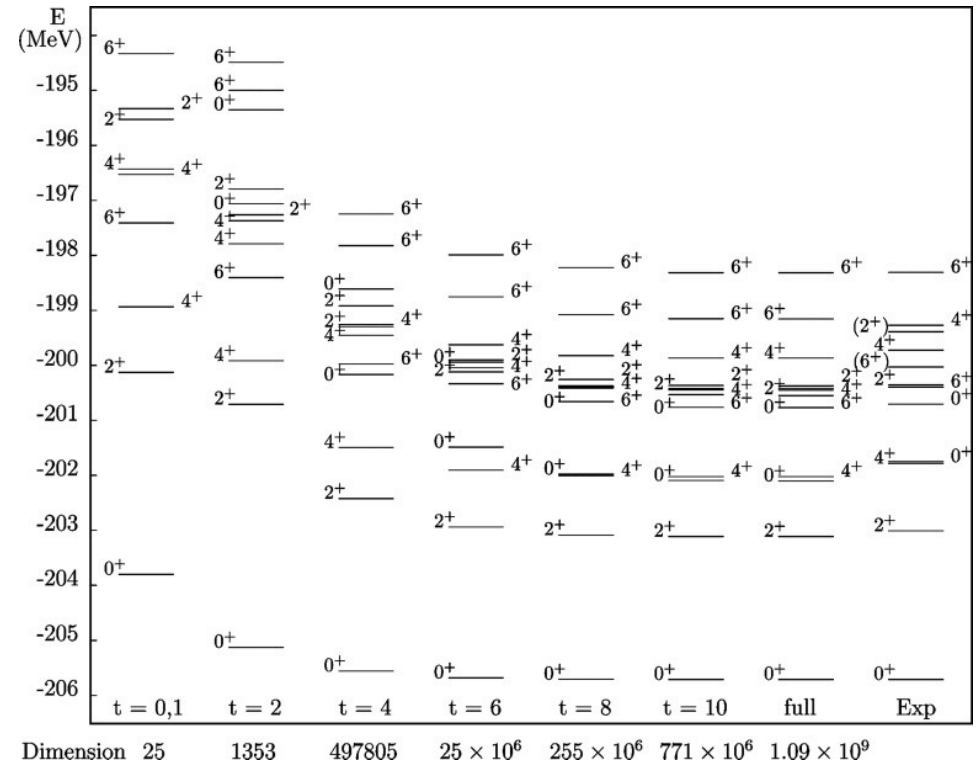
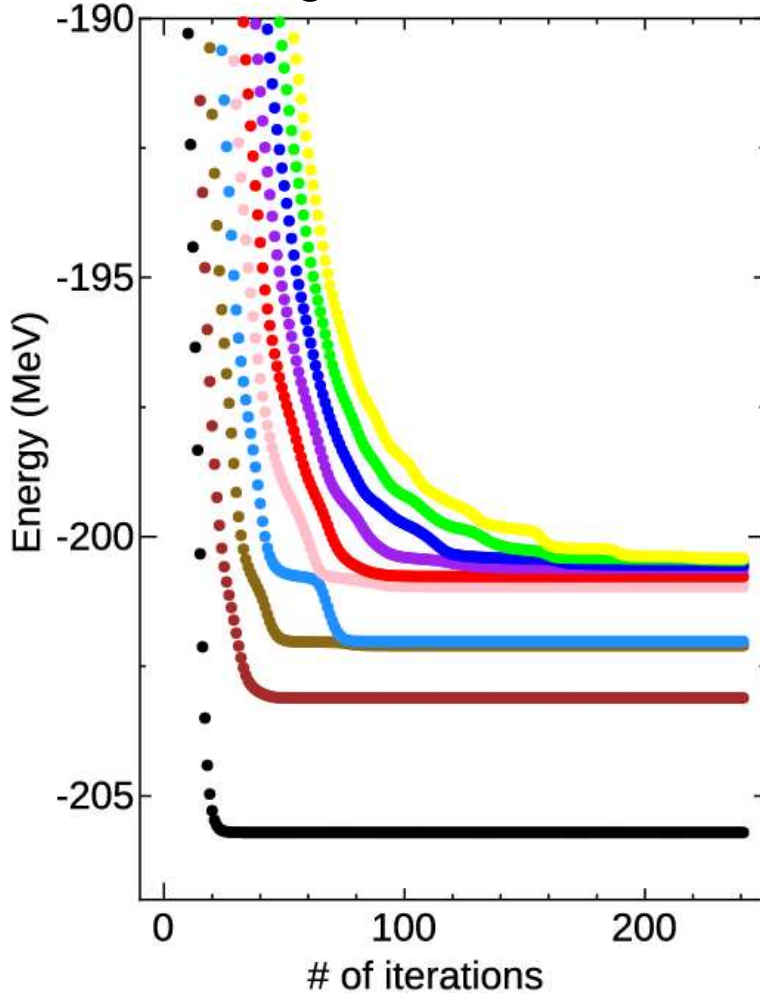
N.B. $u_i^T u_{k-1} = \delta_{ij}$ is satisfied mathematically. However, as k increases, this orthogonality relation is often broken by round-off error

➡ Reorthogonalization

Convergence of Lanczos method

^{56}Ni shell-model calc. 10^9 -dimension sparse matrix \implies 4GB Lanczos vector

10 lowest eigenvalues ... 241 iterations



Excitation energies of ^{56}Ni

Ref. M. Horoi et al. Phys. Rev. C73 061305R (2006)

code "KSHELL"

9 sec/iteration @FX10 240 nodes (SPARC 64 IXfx, 3840 cores), total 35min.

Matrix representation

$$\begin{aligned}
 |\Psi\rangle &= u_1 \begin{array}{c} \text{M=0} \\ \text{---} \bullet \text{---} \\ \bullet \text{---} \bullet \text{---} \bullet \end{array} + u_2 \begin{array}{c} \text{M=0} \\ \text{---} \bullet \text{---} \bullet \text{---} \\ \bullet \text{---} \text{---} \bullet \end{array} + u_3 \begin{array}{c} \text{M=0} \\ \text{---} \bullet \text{---} \bullet \text{---} \bullet \text{---} \bullet \text{---} \\ \text{---} \text{---} \text{---} \text{---} \end{array} \begin{array}{l} j = \frac{3}{2} \\ j = \frac{3}{2} \\ m = -\frac{3}{2}, -\frac{1}{2}, +\frac{1}{2}, +\frac{3}{2} \end{array} + \dots \\
 &= u_1 |m_1\rangle + u_2 |m_2\rangle + u_3 |m_3\rangle + \dots
 \end{aligned}$$

Solve eigenvalue problem of the Hamiltonian matrix

$$H_{ij} = \langle m_i | H | m_j \rangle$$

Why is it sparse matrix?

Hamiltonian is two-body (or three-body) interaction:

$$H = \sum_{ij} t_{ij} c_i^\dagger c_j + \sum_{ijkl} v_{ijkl} c_i^\dagger c_j^\dagger c_l c_k$$

e.g. $\langle m_1 | H | m_3 \rangle = 0$ for two-body int.

Configuration : bit representation

$$\begin{aligned}
 |\Psi\rangle &= u_1 \begin{array}{c} \text{M=0} \\ \text{---} \bullet \text{---} \\ \bullet \text{---} \bullet \text{---} \bullet \text{---} \end{array} + u_2 \begin{array}{c} \text{M=0} \\ \text{---} \bullet \text{---} \bullet \text{---} \\ \bullet \text{---} \text{---} \bullet \text{---} \end{array} + u_3 \begin{array}{c} \text{M=0} \\ \text{---} \bullet \text{---} \bullet \text{---} \bullet \text{---} \bullet \text{---} \\ \text{---} \text{---} \text{---} \text{---} \end{array} \begin{array}{l} j = \frac{3}{2} \\ j = \frac{3}{2} \end{array} + \dots \\
 &= u_1 |m_1\rangle + u_2 |m_2\rangle + u_3 |m_3\rangle + \dots
 \end{aligned}$$

$m = -\frac{3}{2}, -\frac{1}{2}, +\frac{1}{2}, +\frac{3}{2}$

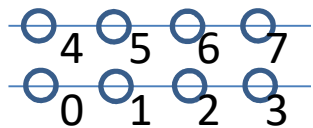
$$\begin{array}{c} \circ \text{---} \circ \text{---} \circ \text{---} \circ \text{---} \\ \circ \text{---} \circ \text{---} \circ \text{---} \circ \text{---} \end{array} \quad |m_1\rangle = c_0^\dagger c_1^\dagger c_3^\dagger c_6^\dagger |-\rangle$$

m	0	1	2	3	4	5	6	7	Decimal num.
$ m_1\rangle$	1	1	0	1	0	0	1	0	75
$ m_2\rangle$	1	0	0	1	0	1	1	0	105
$ m_3\rangle$	0	0	0	0	1	1	1	1	240

“On-the-fly” operation of matrix-vector product

Number of Hamiltonian matrix element $H_{ij} = \langle m_i | H | m_j \rangle$ is too huge to store on memory

Only matrix-vector product is required for Lanczos method



$$|m_3\rangle = c_4^\dagger c_7^\dagger c_1 c_0 |m_1\rangle$$

m	0	1	2	3	4	5	6	7	Decimal num.
$ m_1\rangle$	1	1	0	1	0	0	1	0	75
$ m_2\rangle$	1	0	0	1	0	1	1	0	105
$ m_3\rangle$	0	0	0	0	1	1	1	1	240

$c_4^\dagger c_7^\dagger c_1 c_0$
 off 1, 0 bits
 and
 on 4, 7 bits

Binary search to find where the obtained number is.

Further acceleration : proton-neutron factorization of wave function

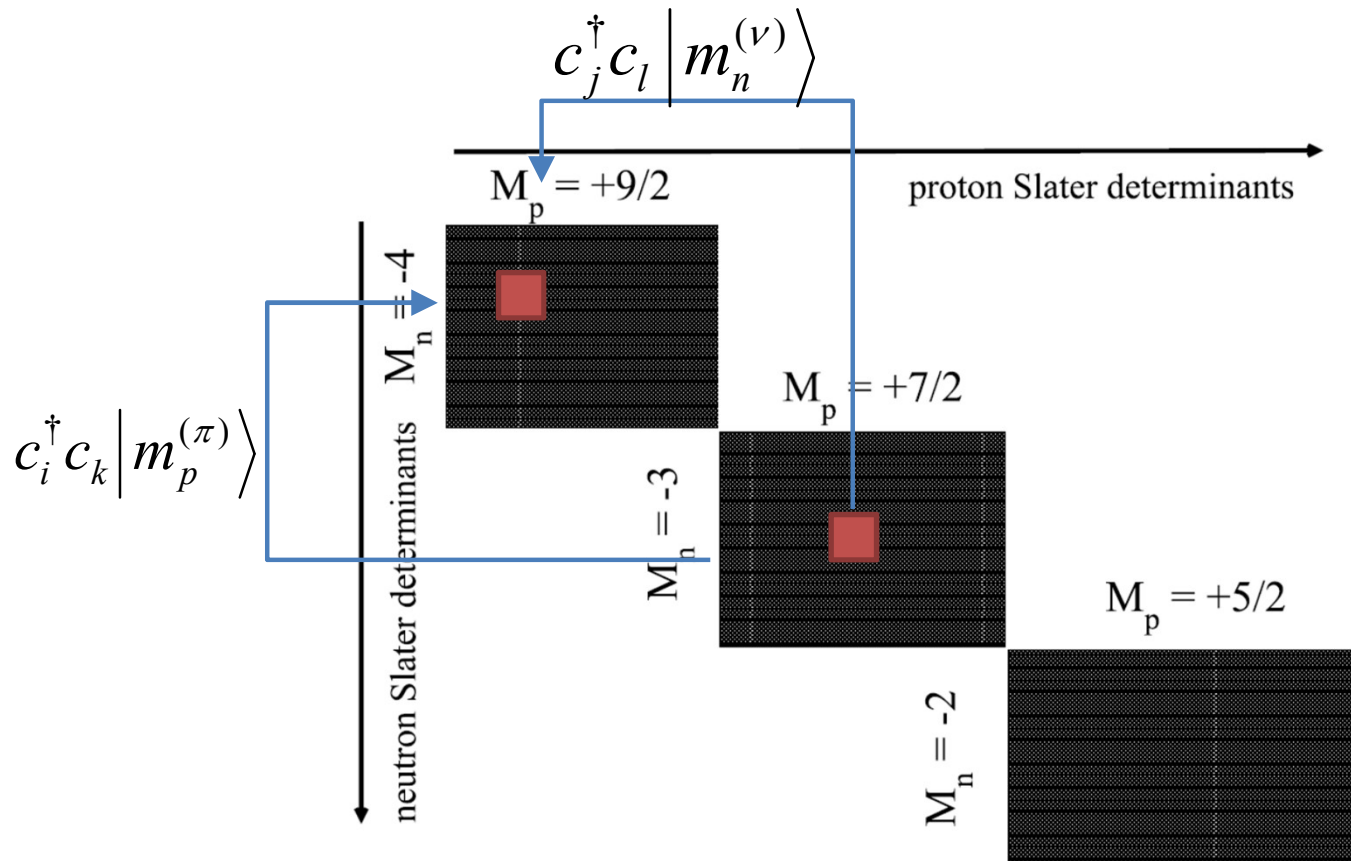
Each M -scheme basis state is a product of proton and neutron configurations

$$\begin{aligned}
 |m\rangle &= |m_\pi\rangle \otimes |m_\nu\rangle & M &= M_p + M_n & J_z \\
 & & \Pi &= \Pi_p \times \Pi_n & \text{Parity}
 \end{aligned}$$

$$|\Psi\rangle = \sum_m v_m |m\rangle = \sum_{M_p, \Pi_p} \sum_{\substack{m_p \in M_p, \Pi_p \\ m_n \in M_n, \Pi_n}} v_{m_\pi, m_\nu} |m_p\rangle \otimes |m_n\rangle$$

For acceleration of the matrix-vector product of the proton-neutron interaction (bottle neck)

$$c_i^\dagger c_j^\dagger c_l c_k \left| m_p^{(\pi)} \right\rangle \left| m_n^{(\nu)} \right\rangle = c_i^\dagger c_k \left| m_p^{(\pi)} \right\rangle c_j^\dagger c_l \left| m_n^{(\nu)} \right\rangle = \left| m_{p'}^{(\pi)} \right\rangle \left| m_{n'}^{(\nu)} \right\rangle$$



In advance, proton one-body interaction $c_i^\dagger c_k |m_p^{(\pi)}\rangle$ and neutron one-body interaction $c_j^\dagger c_l |m_n^{(\nu)}\rangle$ are operated to accelerate the proton-neutron interaction.

Block Lanczos method

- Block Krylov subspace method

$$\mathcal{K}_m(H, \mathbf{V}_1) = \{\mathbf{V}_1, H\mathbf{V}_1, H^2\mathbf{V}_1, \dots, H^{m-1}\mathbf{V}_1\} \quad \mathbf{V}_1 = (\mathbf{v}_1^{(1)}, \mathbf{v}_1^{(2)}, \dots, \mathbf{v}_1^{(q)})$$

a block of vectors \mathbf{V}_1 be arbitrary vectors with $\mathbf{V}_1^T \mathbf{V}_1 = \mathbf{1}$
and $\beta_{-1} := \mathbf{0}$.

```

for  $k = 1, 2, 3, \dots$  do
     $\mathbf{W} := H\mathbf{V}_k$ 
     $\alpha_k := \mathbf{V}_k^T \mathbf{W}$ 
     $T_{k(p-1)+1:kp, k(p-1)+1:kp} := \alpha_k$ 
    Diagonalize  $T^{(k)}$  and stop if  $e_n$  converges
     $\mathbf{W} = \mathbf{W} - \mathbf{V}_k \alpha_k$ 
    Reorthogonalize  $\mathbf{W}$  with  $\{\mathbf{V}_1, \mathbf{V}_2, \dots, \mathbf{V}_{k-1}\}$ 
     $\mathbf{V}_{k+1} \beta_k = \text{QR}(\mathbf{W})$ 
     $T_{k(p+1)+1:k(p+2), kp+1:k(p+1)} := \beta_k$ 
     $T_{kp+1:k(p+1), k(p+1)+1:k(p+2)} := \beta_k^T$ 
end for
    
```

Subspace extended by a product of a matrix and block vectors

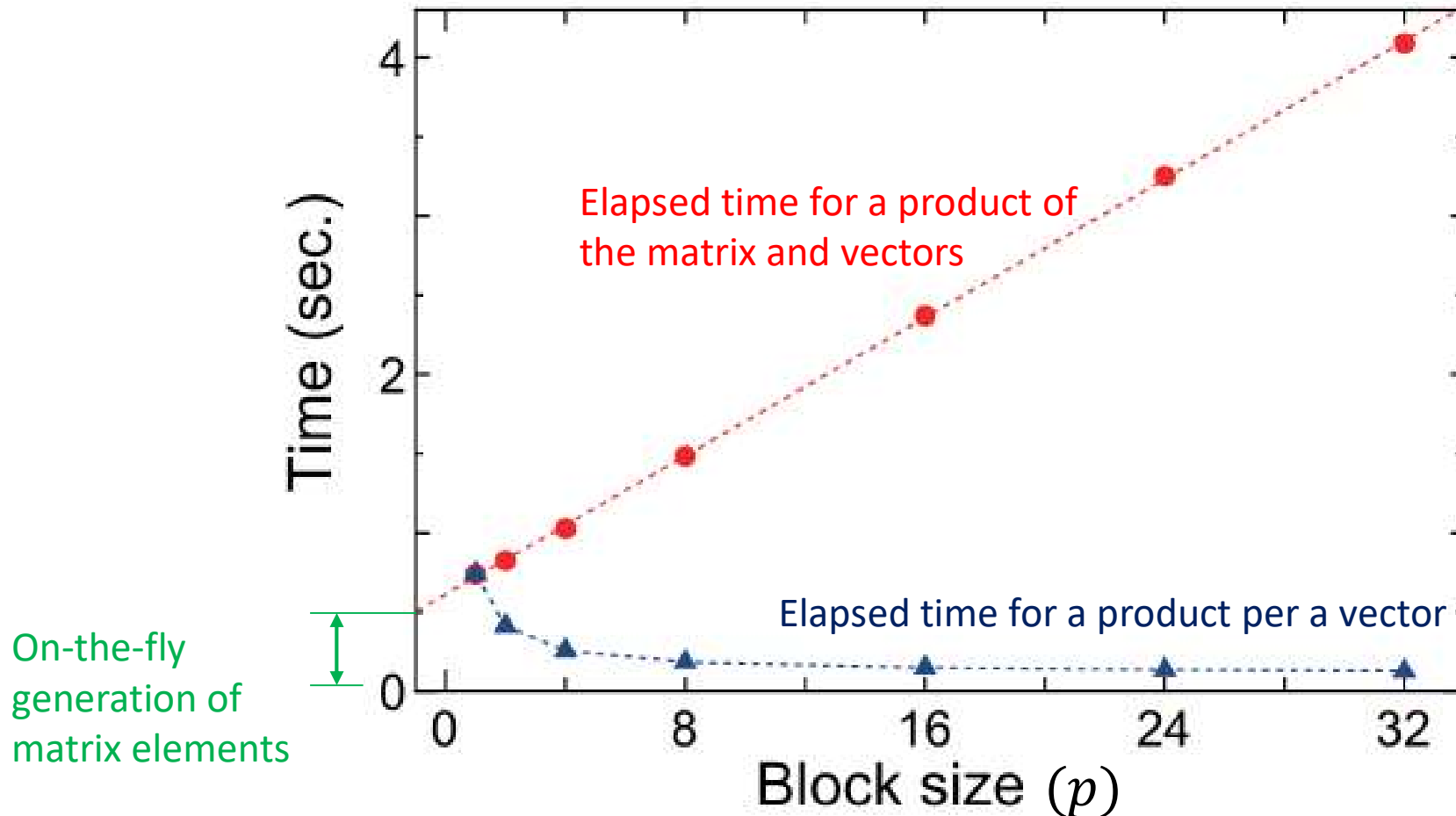
$$T^{(k)} = \begin{pmatrix} \alpha_1 & \beta_1^T & & & 0 \\ \beta_1 & \alpha_2 & \beta_2^T & & \\ & \beta_2 & \alpha_3 & \ddots & \\ & & \ddots & \ddots & \beta_{k-1}^T \\ 0 & & & \beta_{k-1} & \alpha_k \end{pmatrix}$$

α_i and β_i are $p \times p$ block matrices

Performance improvement of block algorithm

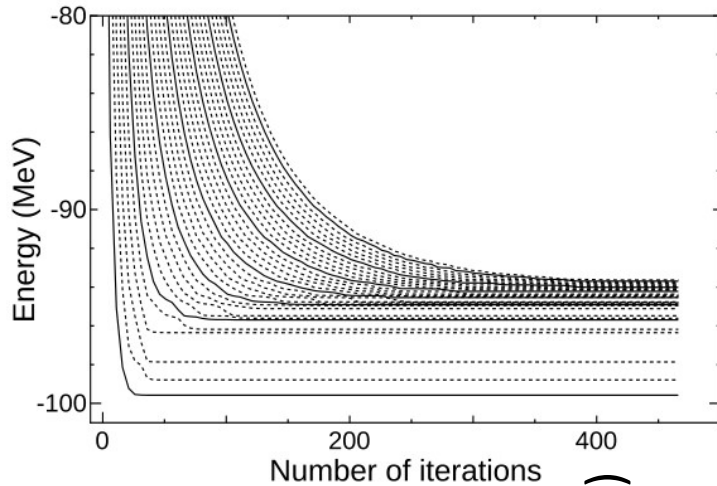
Elapsed time of a product of the matrix and block vectors

$$(v'_1, v'_2, \dots, v'_p) = H(v_1, v_2, \dots, v_p)$$



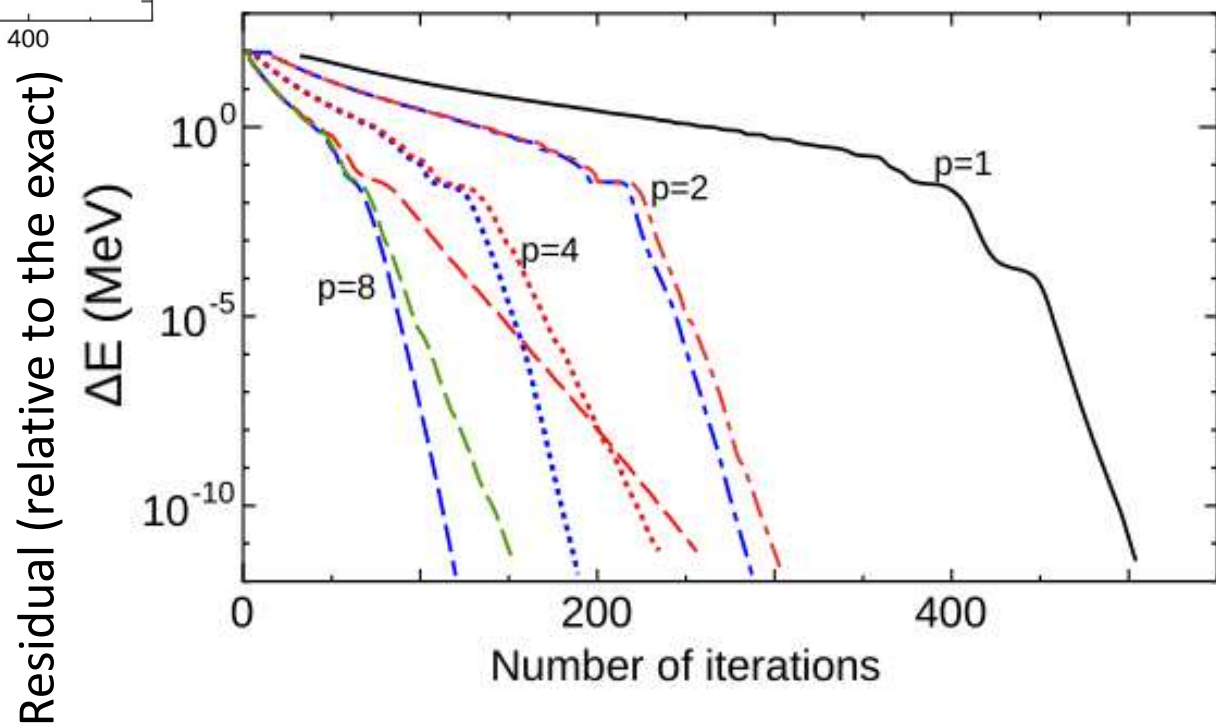
^{48}Cr in pf-shell, 1,963,461 dimension, Xeon 20 cores

Performance improvement by the block Lanczos method



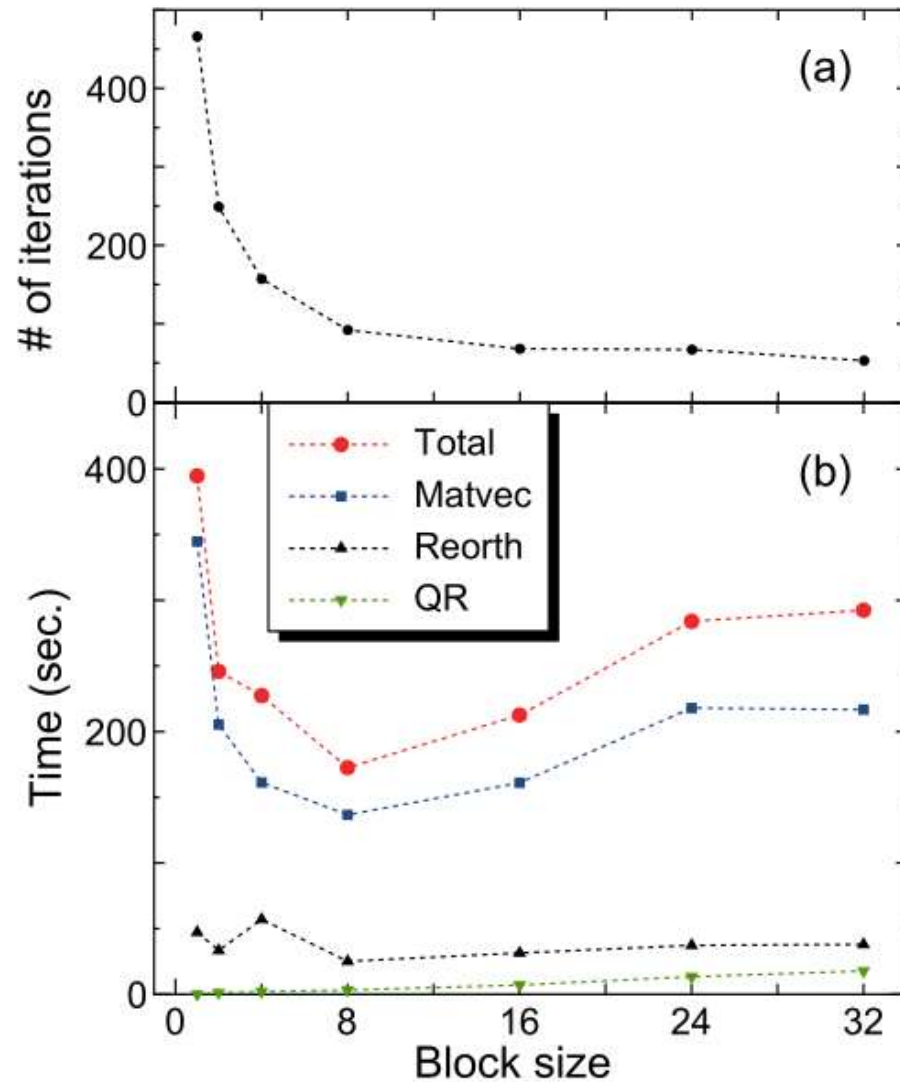
^{48}Cr in pf-shell, 1,963,461 dimension

Convergence of the 32nd eigenvalue

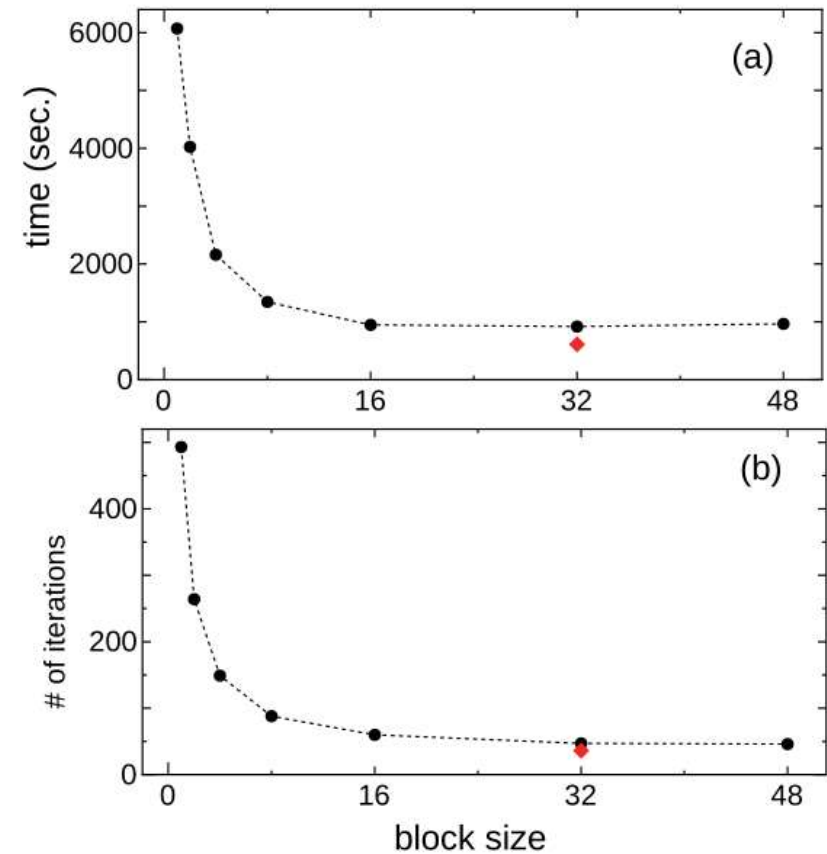


Performance of block Lanczos method

^{48}Cr in pf-shell, 1,963,461 dimension



^{112}Sn w/ ^{100}Sn core
6,210,638 dimension



Further acceleration : Thick-restart block method

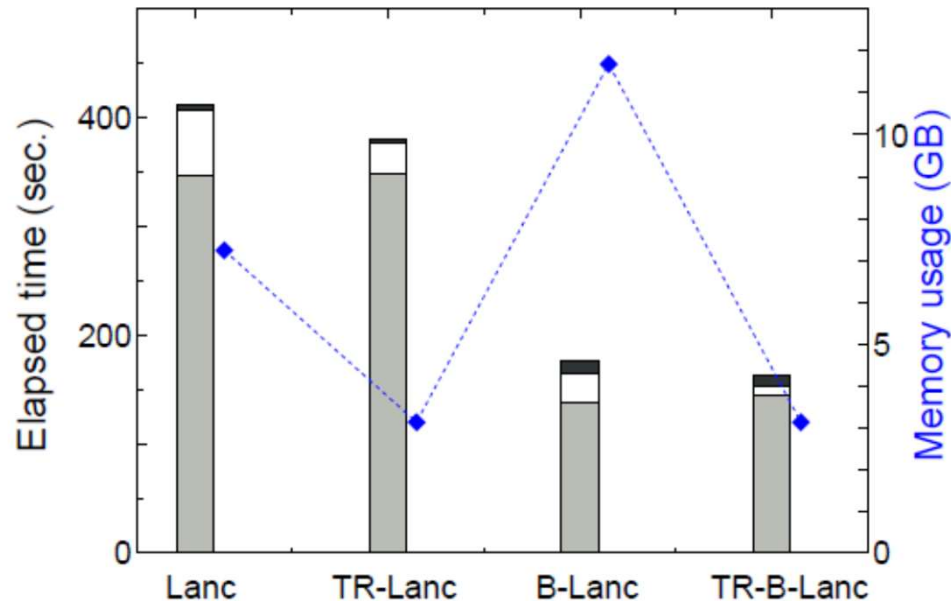


FIG. 8: Total elapsed time to obtain the 32 lowest eigenvalues of ^{48}Cr with the simple Lanczos (Lanc), thick-restart Lanczos (TR-Lanc), block Lanczos (B-Lanc), and thick-restart block Lanczos (TR-B-Lanc) methods. The shaded, open, and filled bars denote the elapsed times of matrix-vectors products, re-orthogonalizations, and the others, respectively. The memory usage to store the Lanczos vectors is shown as the blue diamonds with the dashed line. We take the block size $q = 8$ for the block methods and the maximum number of the Lanczos vectors $l_m = 200$ for the thick-restart methods.

When the number of the Lanczos vectors reaches $l_m = 200$, the 20 lowest eigenvectors in the subspace is only taken and restart the Lanczos iterations.

N. Shimizu et al., Comp. Phys. Comm. in print.

Massively parallel computation



Summit @ ORNL
17,579,520 GPU cores

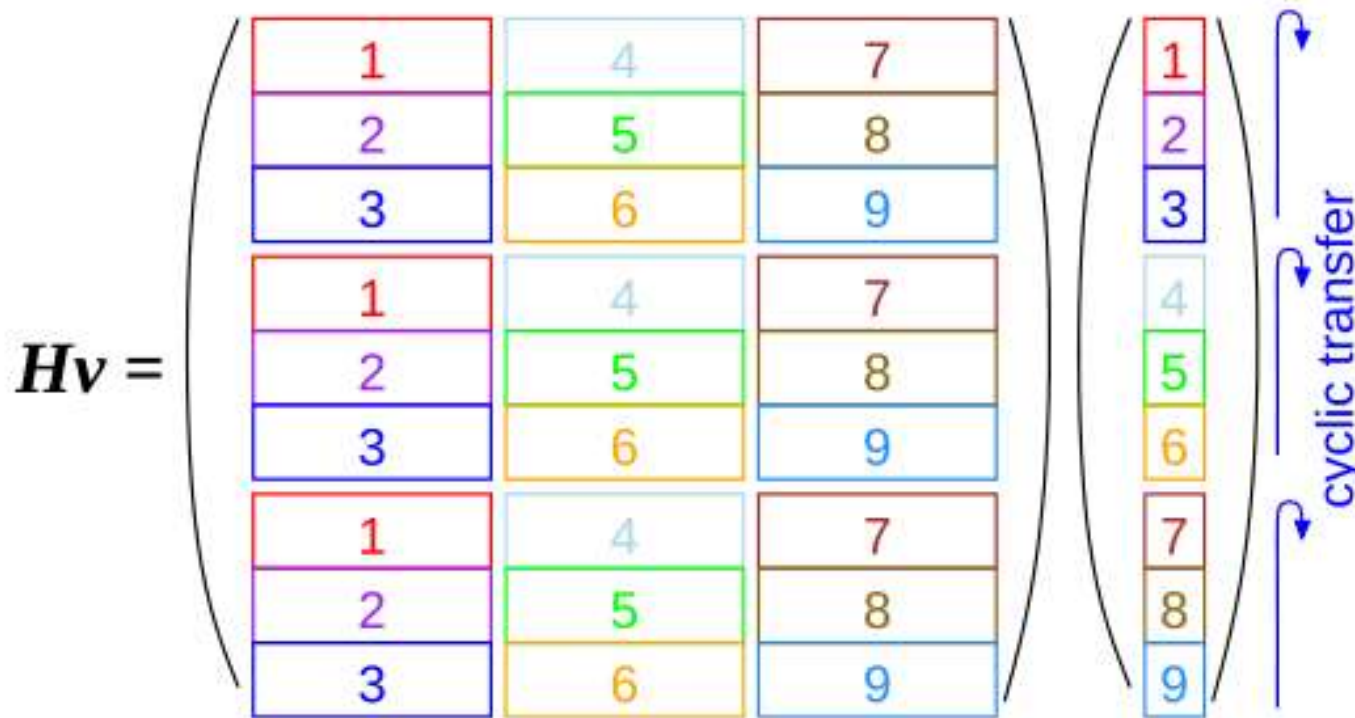


K computer
548,352 CPU cores

2020 Japan will launch
“Fugaku” supercomputer

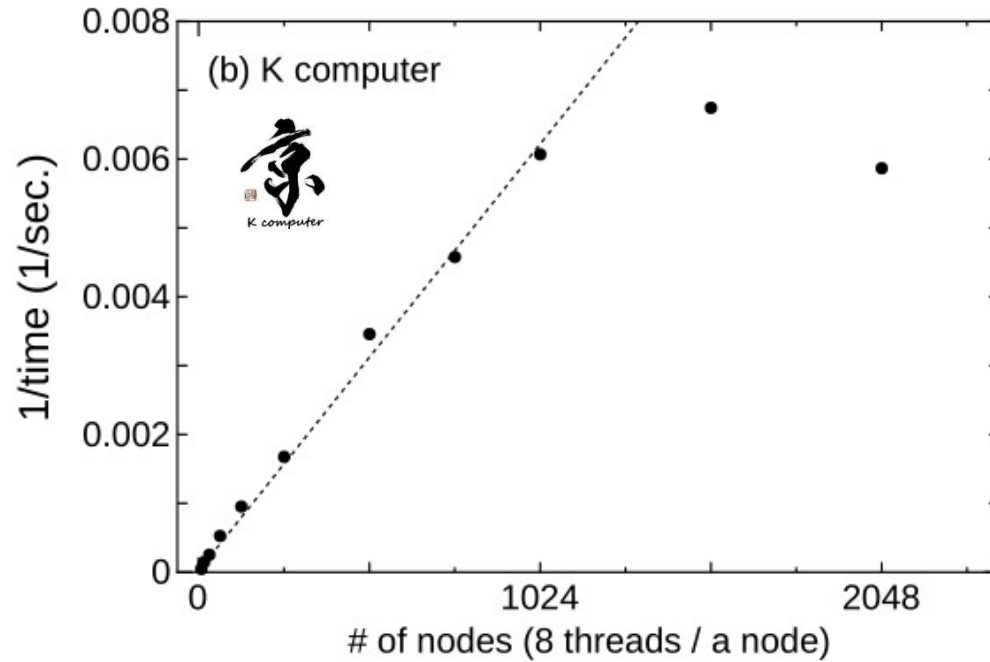
Parallel computation of matrix-vector product in the KSHLL code

E.g. assume 9 computer nodes



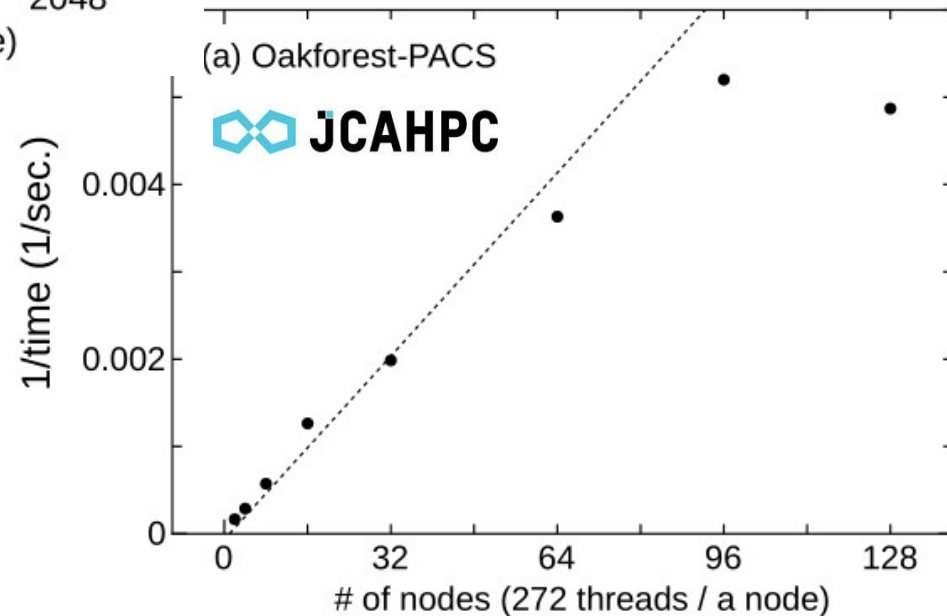
- Diagonal blocks are equally distributed.
- Communication volume per matrix-vector product is the smallest, $2V/\sqrt{N}$ (V : size of a vector, N : number of nodes)
- A vector is split equally for reorthogonalization

Parallel performance (strong scaling)



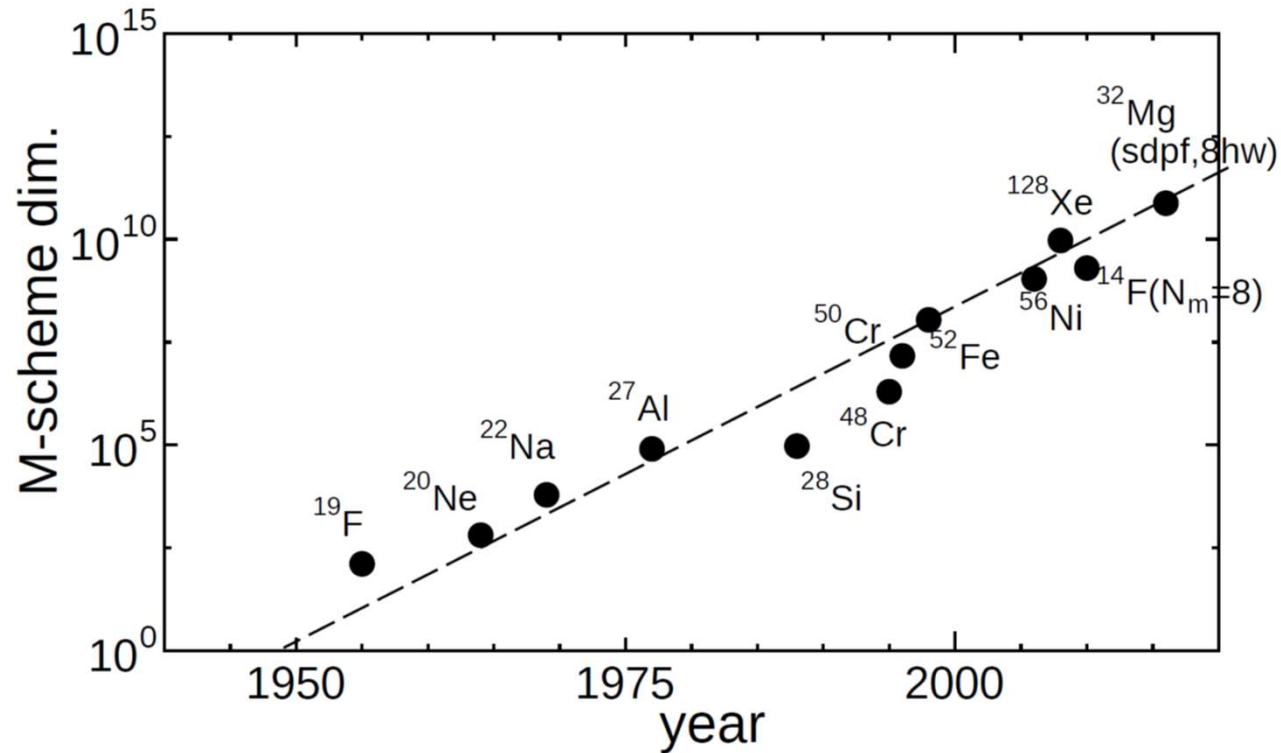
^{56}Ni , pf-shell
 10^9 dimension

Good parallel performance up to 10^5 threads



Progress in computer : *M*-scheme dimension vs. year

Computation cost is almost proportional to the *M*-scheme dimension

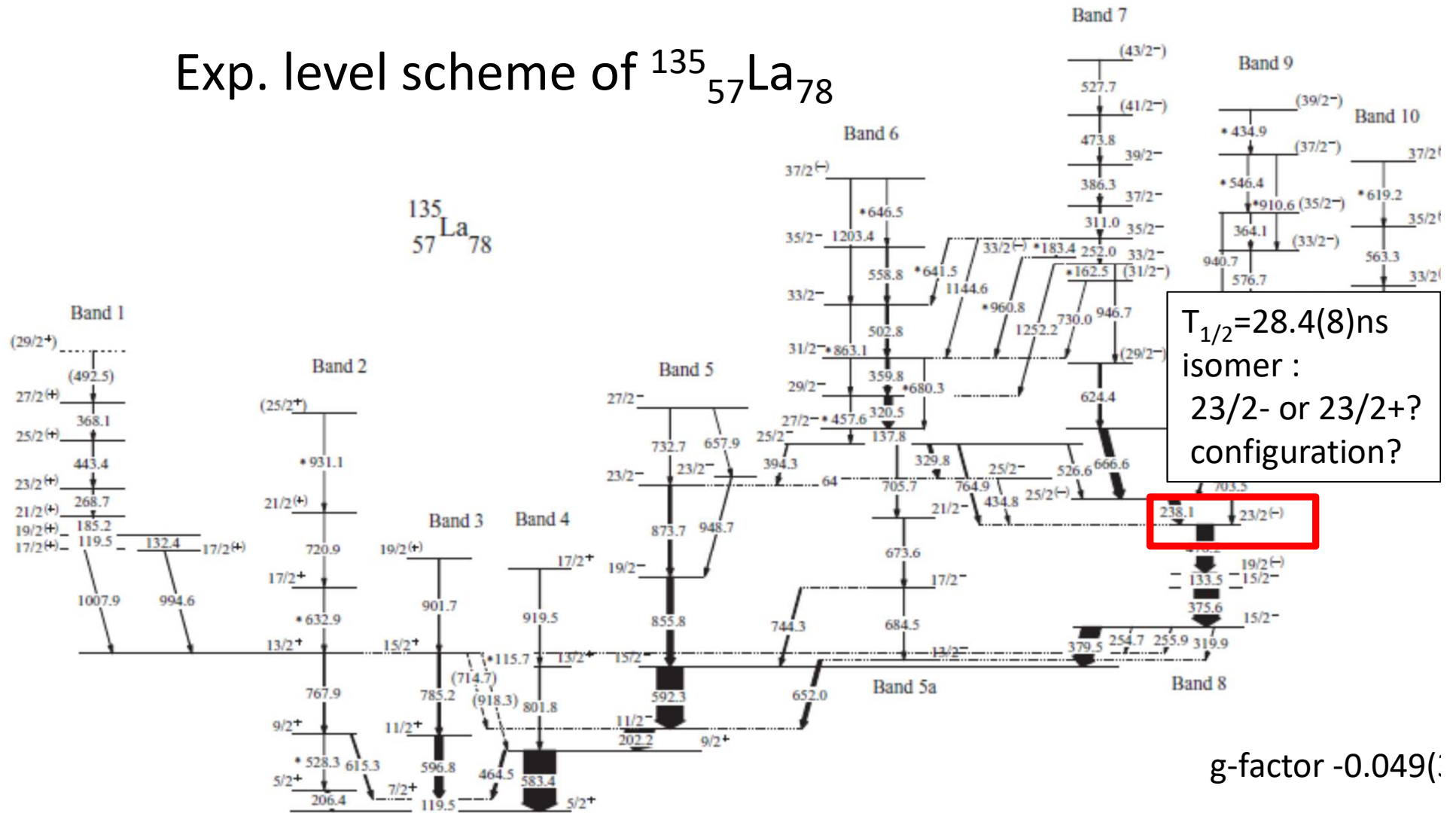


1950 Mayer & Jensen, single-particle SM

Current limit : $\sim 10^{11}$ M-scheme dim.
 \Rightarrow 800 GB / a vector

Odd-mass medium-heavy nuclei

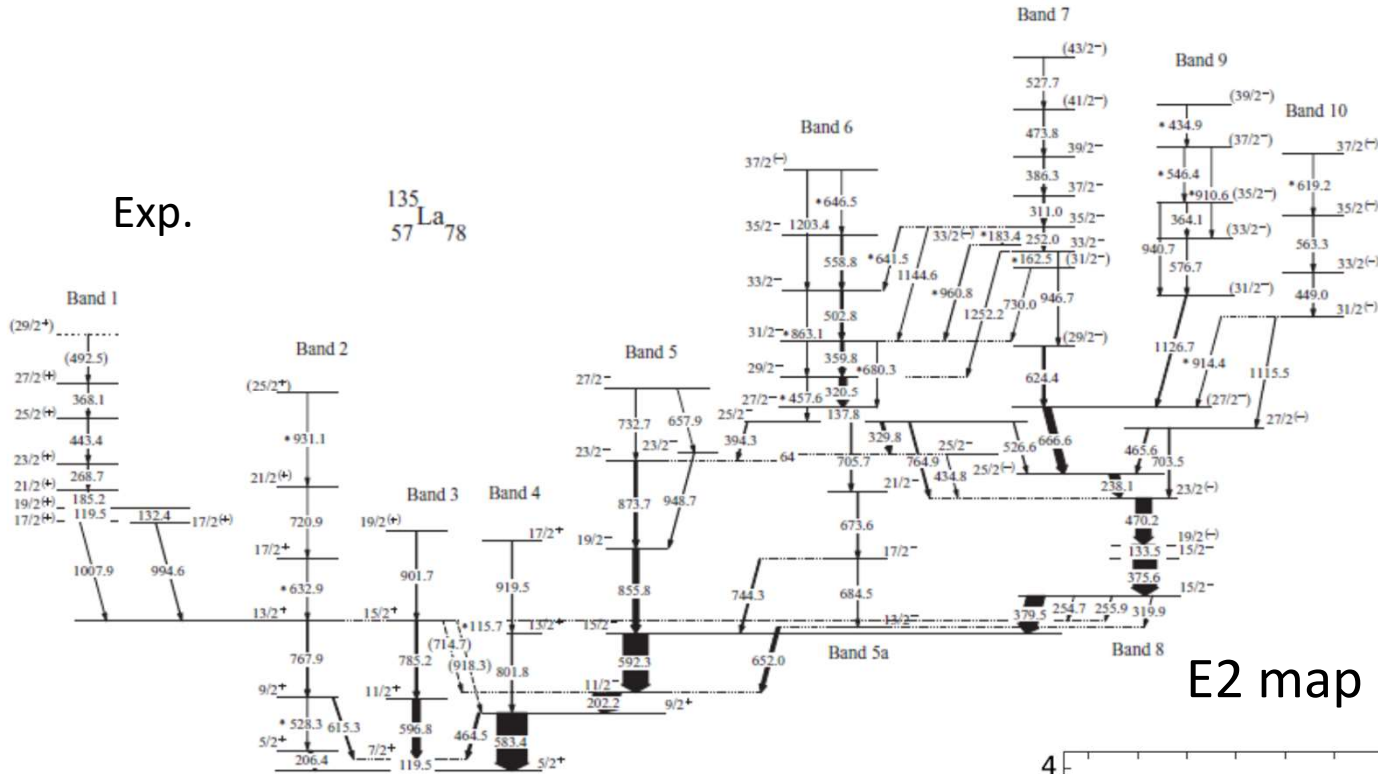
Exp. level scheme of $^{135}_{57}\text{La}_{78}$



R. Garg *et al.*, PRC 87, 034317 (2013), R. Leguillon *et al.*, PRC 88, 044309 (2013)

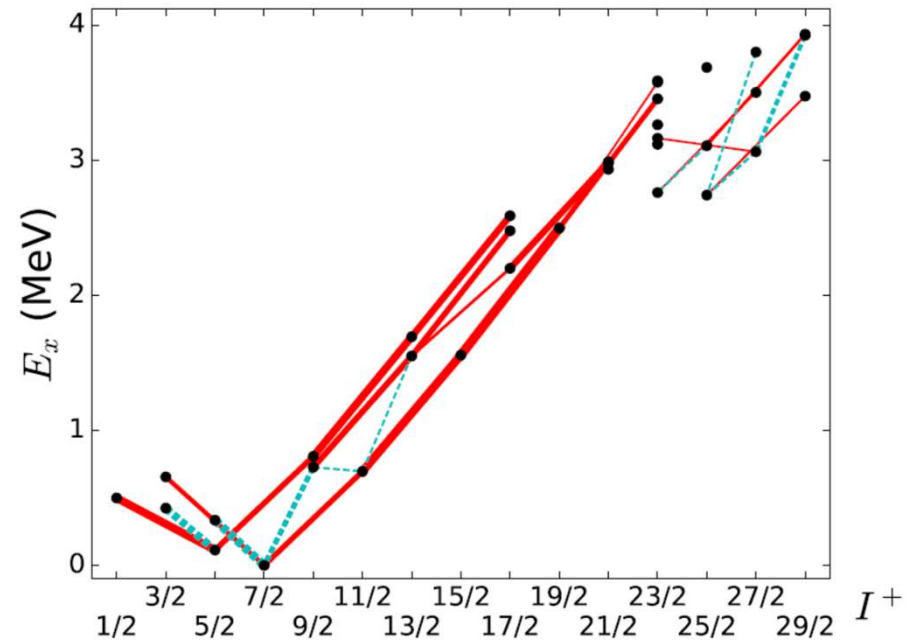
Exp.

$^{135}_{57}\text{La}_{78}$



E2 map of ^{135}La

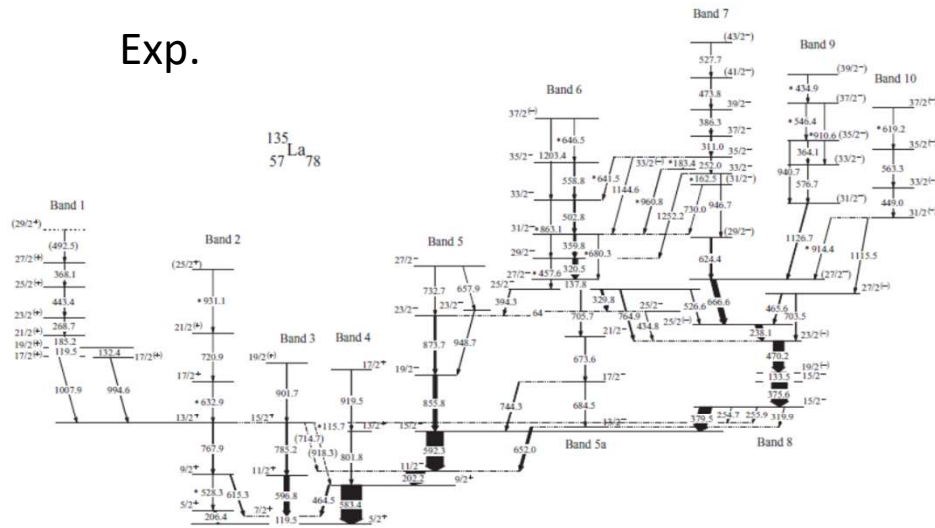
LSSM calculations
50-82 model space,
SNV interaction



$^{135}_{57}\text{La}_{78}$: LSSM calc.

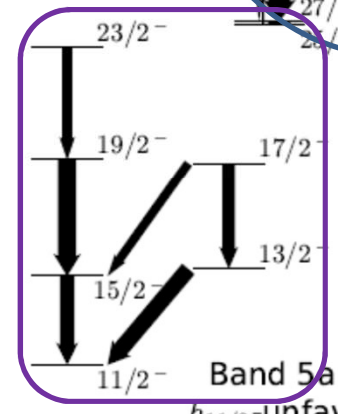
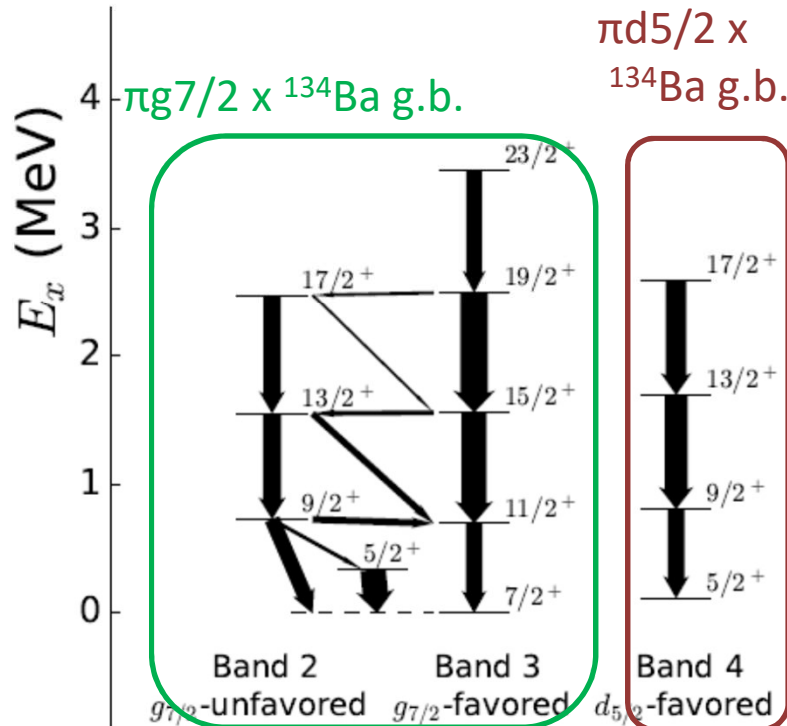
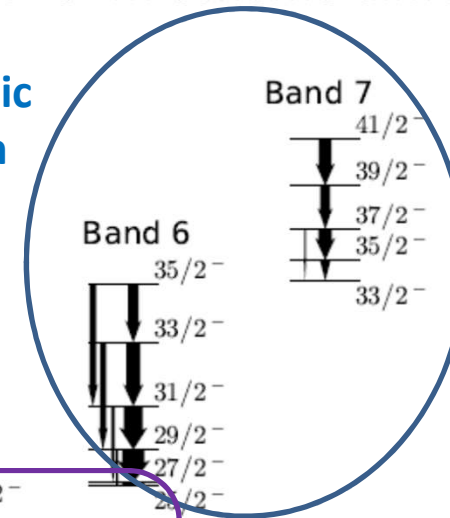
Md. S. R. Lasker *et al.* Phys. Rev. C 99 014380 (2019).

Exp.



LSSM calc. , arrow width : B(E2)
 3×10^9 M-scheme dimension

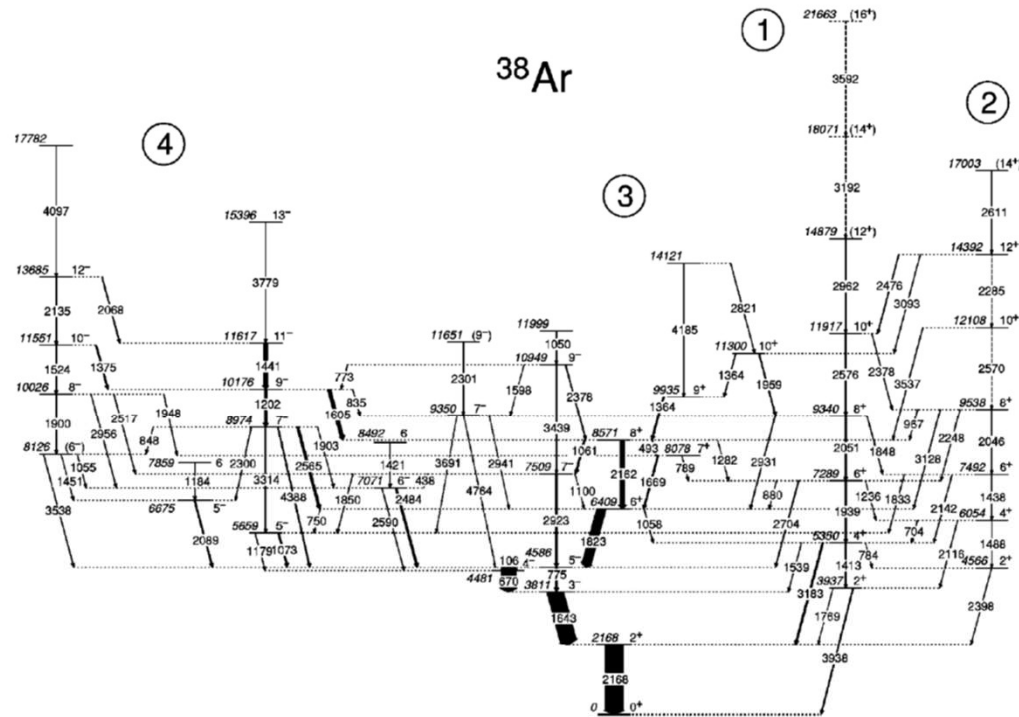
Magnetic rotation



23/2+
 $21/2^-$
 23/2+ isomer
 $\pi d_{5/2} \times (v h_{11/2})^{-2}$
 Band 8
 g-factor -0.049(3)
 LSSM -0.052

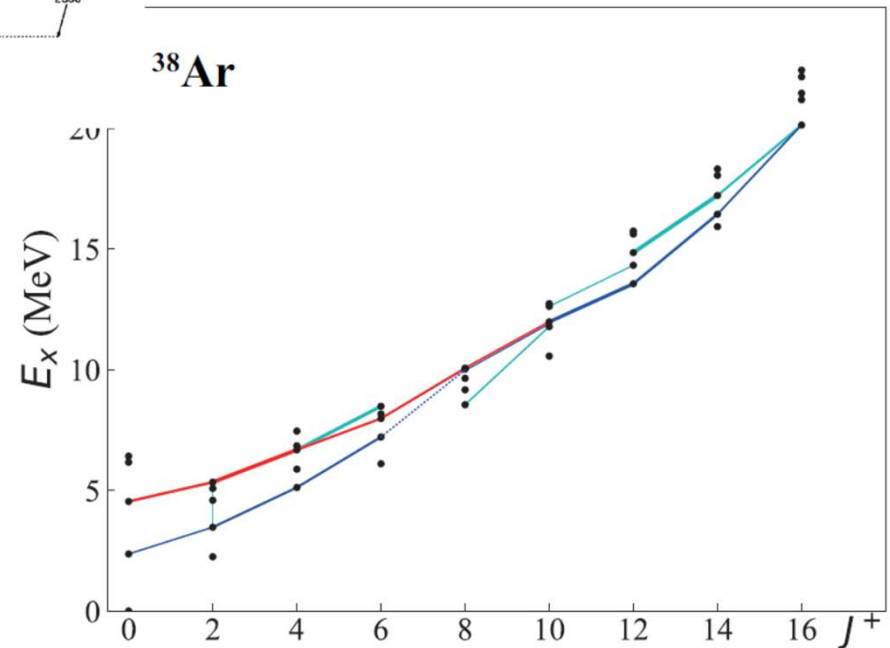
$\pi d h_{11/2} \times ^{134}\text{Ba g.b.}$
 $h_{11/2}$ -favored

High-spin states in LSSM: deformed bands of nuclei around ^{40}Ca



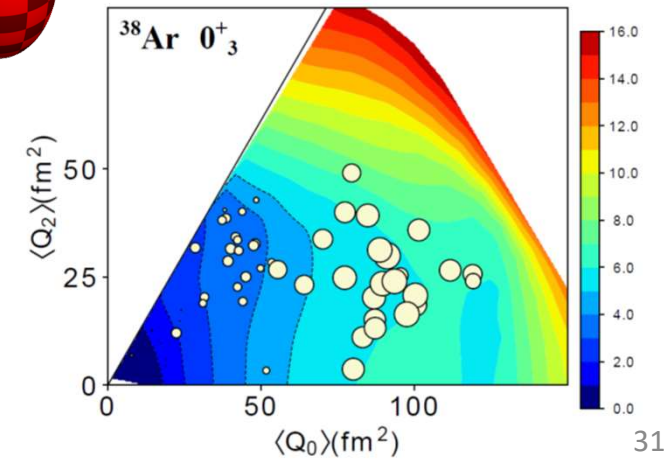
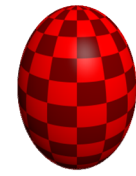
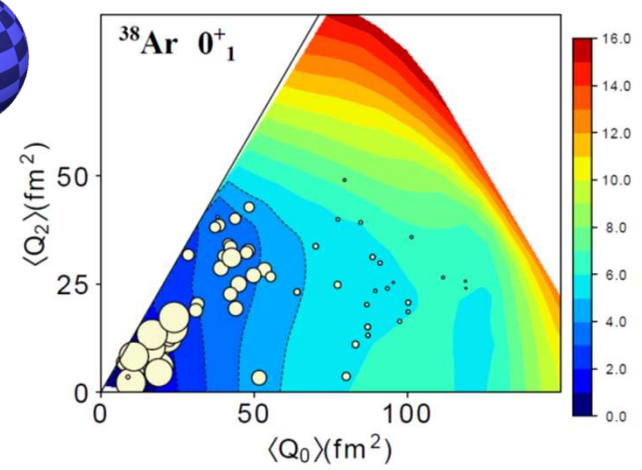
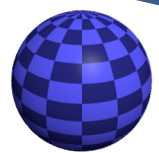
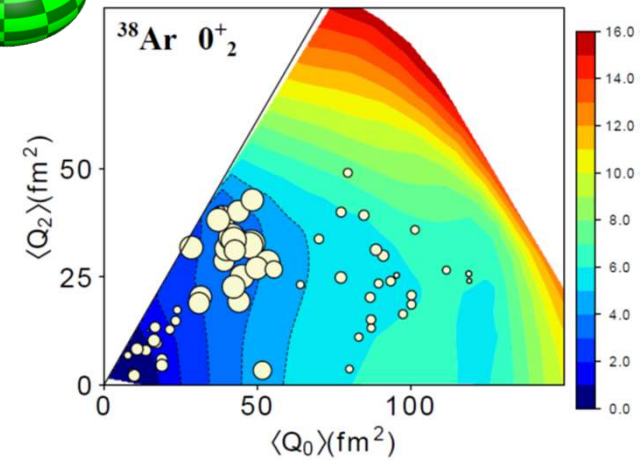
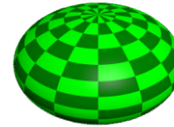
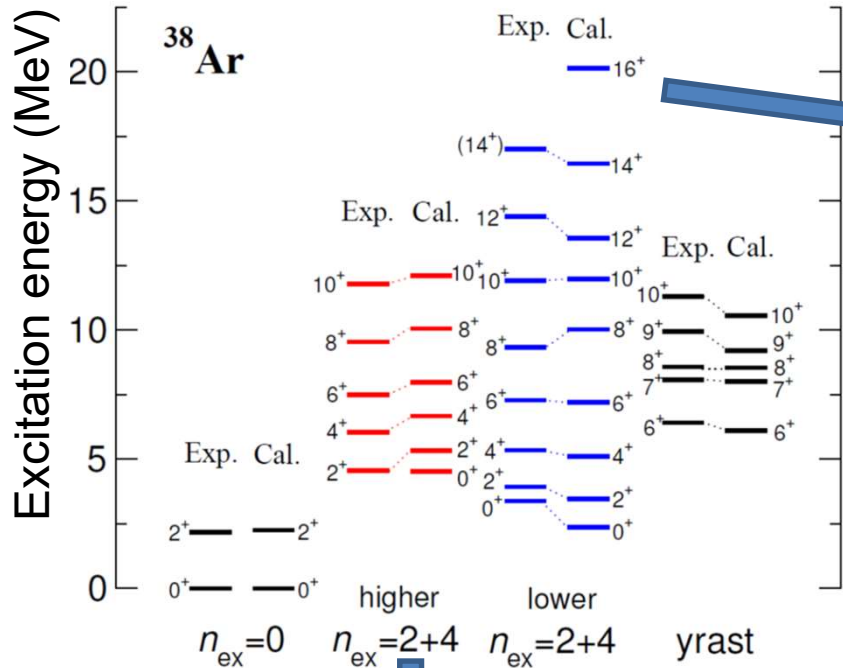
E2 map of LSSM
sd+f7p3 model space

D. Rudolph et al., Phys. Rev. C 65, 034305 (2002)

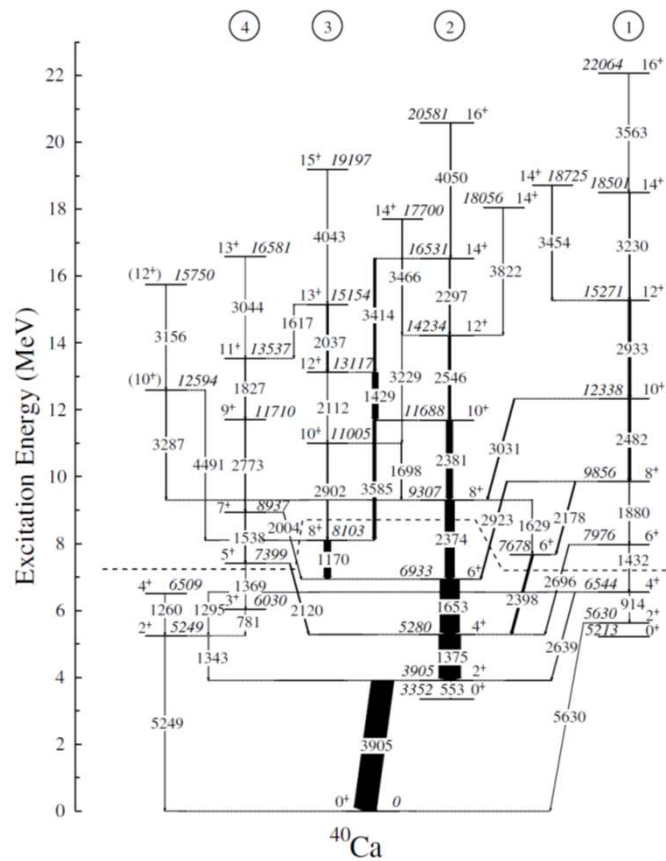


High-spin states in LSSM: deformed bands of nuclei around ^{40}Ca

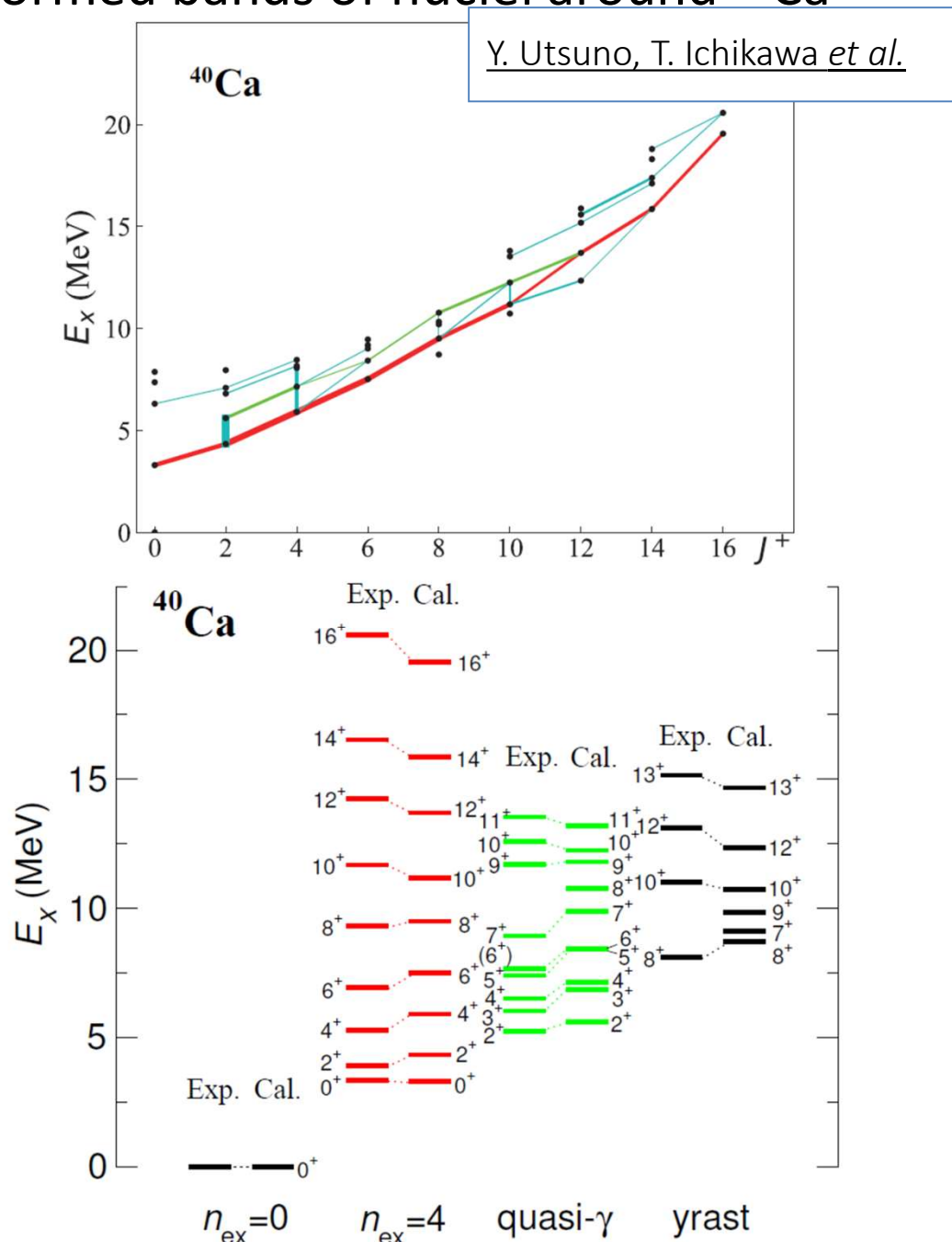
Y. Utsuno, T. Ichikawa *et al.*



High-spin states in LSSM: deformed bands of nuclei around ^{40}Ca



E. Ideguchi et al., Phys. Rev. Lett. 87, 222501 (2001).



Summary

- Algorithm of the “KSHELL” code: on-the-fly generation of the matrix elements at every matrix-vector product in the Lanczos method.
- Thick-restart block Lanczos method improves the performance.
- Good parallel efficiency for massively parallel computation.
- Feasibility of the LSSM to study high-spin states and deformed bands are demonstrated.

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and a lot ... Thank you!